

Chang of Account Information for Corporate Client Form  
公司帳戶信息更改表格

Account Name 帳戶名稱		Account No. 帳戶號碼	
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Please complete in BLOCK letters where appropriate 請以正楷填寫有關變更部分

Part 1 – Corporate Information 第一部分 – 公司資料	
Company Name <sup>(Note 1)</sup> 公司名稱 <sup>(註 1)</sup>	
Certificate of Incorporation No. <sup>(Note 1)</sup> 公司註冊號碼 <sup>(註 1)</sup>	
Business Registration No. <sup>(Note 1)</sup> 商業登記號碼 <sup>(註 1)</sup>	
Place of Incorporation <sup>(Note 1)</sup> 註冊地 <sup>(註 1)</sup>	
Date of Incorporation <sup>(Note 1)</sup> 註冊日期 <sup>(註 1)</sup>	
Legal Entity Identity No. (if applicable) 法律實體識別碼(如有)	
Nature of Business 業務性質	
Office Phone No. 住宅電話號碼	
Mobile Phone No. 流動電話	
Email Address 電郵地址	
Registered Office Address <sup>(Note 2)</sup> 註冊地址 <sup>(註 2)</sup>	
Principle Address of Business (please specify, if different from Registered Office Address) <sup>(Note 2)</sup> 主要營業地址(如與註冊地址不同, 請註明) <sup>(註 2)</sup>	
Correspondence Address (please specify, if different with Registered Office Address or Principle Address of Business) 通訊地址(如與註冊地址或主要營業地址不同, 請註明)	<input type="checkbox"/> Registered office address 註冊地址 <input type="checkbox"/> Principle Address of Business 主要營業地址 <input type="checkbox"/> the below address 或以下地址
Delivery of Account Statement 帳戶結單發送	<input type="checkbox"/> By Email 電郵收取 <input type="checkbox"/> By post to correspondence address 郵寄結單往通訊地址**  **If you would like to receive the statements by post, Harmonia Capital Limited may charge a reasonable monthly fee. For the details, please refer to the fee schedule on the website of Harmonia Capital. 如閣下希望以郵寄方式收取上述結單, 仁和資本有限公司將每月收取合理的收費。收費詳情請參看仁和資本網站上的收費表。

Designated Bank Details (Note 3) 指定銀行資料 <sup>(註 3)</sup>	Bank Name 銀行名稱：  Bank Account Number 銀行帳戶號碼：  Currency 貨幣：  Bank Account Holder's Name 銀行帳戶持有人名稱：
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Part 2 – Financial Background 第二部分 – 財務背景	
<b>Financial Profile 財務概況</b>	
Annual Revenue (HK\$) 全年營業額(港幣)	<input type="checkbox"/> <=\$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> >\$500,000,000
Annual Net Profit (HK\$) 全年淨利潤(港幣)	<input type="checkbox"/> <=\$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> >\$500,000,000
Total Assets (HK\$) 總資產(港幣)	<input type="checkbox"/> <=\$5,000,000 <input type="checkbox"/> \$5,000,001 - \$10,000,000 <input type="checkbox"/> \$10,000,001 - \$50,000,000 <input type="checkbox"/> \$50,000,001 - \$100,000,000 <input type="checkbox"/> \$100,000,001 - \$500,000,000 <input type="checkbox"/> \$500,000,001 - \$1,000,000,000 <input type="checkbox"/> >\$1,000,000,000
Source of fund 資金來源	<input type="checkbox"/> Business Profit 業務利潤 <input type="checkbox"/> Investment Earning 投資收益 <input type="checkbox"/> Sale of Property/Asset 出售物業/資產 <input type="checkbox"/> Others, Please Specify 其他, 請說明 _____
Initial Source of Wealth 最初財富來源	<input type="checkbox"/> Business Profit 業務利潤 <input type="checkbox"/> Investment Earning 投資收益 <input type="checkbox"/> Sale of Property/Asset 出售物業/資產 <input type="checkbox"/> Others, Please Specify 其他, 請說明 _____

<b>Part 3 – Corporate Structure 第三部分 – 公司結構</b>				
<b>Director(s) of the company</b> <small>(Note 4.5)</small> <b>公司董事</b> <small>(註 4.5)</small>				
	Name 姓名	ID Card / Passport No. 身份證或護照號碼	Residential Address 住宅地址	Contact Tel. No. 聯絡電話
(a)				
(b)				
(c)				
<b>Shareholder(s) of the Company</b> <small>(Note 4.6)</small> <b>公司股東</b> <small>(註 4.6)</small> (With 15% shareholdings or more 持股比例 15%以上)				
Individual/Entity 個人/實體	Name 名稱	HKID Card No. / Passport No. 身份證號碼或護照號碼 / Certificate of Incorporation No. 公司註冊編號	Shareholdings 持股比例 %	
(a) <input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體				
(b) <input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體				
(c) <input type="checkbox"/> Individual 個人 <input type="checkbox"/> Entity 實體				

<b>Ultimate Beneficial Owner(s)</b> <small>(Note 5,6)</small> <b>最終實益擁有人</b> <small>(註 5,6)</small>		
直接或間接地持有或控制該法團 25%或以上投票權或股本的股東:		
1	Name in English 中文姓名	
	Nationality 國籍 HKID Card No. / Passport No. 身份證號碼或護照號碼	
	Date of Birth 出生日期 (DD/MM/YYYY) Contact Tel. No. 聯絡電話	
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同, 請註明))	
2	Name in English 中文姓名	
	Nationality 國籍 HKID Card No. / Passport No. 身份證號碼或護照號碼	
	Date of Birth 出生日期 (DD/MM/YYYY) Contact Tel. No. 聯絡電話	
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同, 請註明))	
3	Name in English 中文姓名	
	Nationality 國籍 HKID Card No. / Passport No. 身份證號碼或護照號碼	
	Date of Birth 出生日期 (DD/MM/YYYY) Contact Tel. No. 聯絡電話	
	Permanent Address 永久地址	
	Correspondence Address 通訊地址 (Please specify, if different with Residential Address (如與永久地址不同, 請註明))	
<b>If there are any changes of Authorized Traders and/or Signatories, please provide a Board Resolution and attached with the ID/passport copy of each Authorized Person.</b> 如要更改公司的授權交易及/或簽署人士, 請另提供董事會決議及相關授權人的身分證明文件。		

Part 4 – Anticipated Level of Transactions Per Month 第四部分 – 預期每月交易概況	
Anticipated Investment of Products 預期投資產品	<input type="checkbox"/> Stocks 證券 <input type="checkbox"/> Warrants 認股權證 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Bonds 債券 <input type="checkbox"/> Fund 基金 <input type="checkbox"/> Bullion 貴金屬 <input type="checkbox"/> Foreign Currency 外匯 <input type="checkbox"/> Others, Please Specify 其他, 請說明: _____
Anticipated Number of Transactions Per Month 預計每月交易次數	<input type="checkbox"/> <20 <input type="checkbox"/> 20-50 <input type="checkbox"/> >50
Anticipated Amount of Transactions Per Month (HK\$) 預計每月交易金額 (港幣)	<input type="checkbox"/> <500,000 <input type="checkbox"/> 500,000–2,000,000 <input type="checkbox"/> 2,000,001–5,000,000 <input type="checkbox"/> >5,000,001

本人/吾等謹此聲明以上所提供的資料均屬真實及正確。  
I/We hereby declare that the information given above is true and correct.

Client Signature with company chop (where applicable)  
客戶簽署及公司印章(如適用) : \_\_\_\_\_

Name of the signer 簽署人姓名 : \_\_\_\_\_

Capacity 身份 : \_\_\_\_\_

Date 日期 : \_\_\_\_\_

**IMPORTANT NOTICE 重要通知：**

An account holder should immediately report all changes in his/her tax residency status to Harmonia Capital Limited by completing the “Self-Certification form” and “W-8BEN-E/W-8IMY”.  
帳戶持有人的稅務身分如有任何變更，應立即填妥[自我證明表格]及/或[W-8BEN-E/W-8IMY表格]通知仁和資本有限公司有關變更。

**Notes 註：**

- Please provide a Certified true copy of the Certificate of Incorporation, Change of Certificate of Incorporation and/or Memorandum and Articles of Association and/or other related document(s).  
請提供已更新的公司註冊證書，公司註冊證書變更和/或組織章程大綱的認證副本和/或其他相關文件。
- Please provide address proof (e.g. utility bill or bank statement issued within the last 3 months).  
請提供地址證明(如最近三個月內發出的水/電/煤氣單或銀行月結單)
- Please provide bank statement.  
請提供銀行結單。
- Please provide the latest Company Search Report (i.e. Annual Return) or Certificate of Incumbency issued by the registered agent within the late 6 months or equivalent with Certified True Copy.  
請提供最新的周年申報/公司查冊或最近 6 個月內由當地註冊代理人簽發的職權證明書(現任職位證明書)或等同文件的核證本。
- Please provide a certified true copy of the identity document and address proof (e.g. utility bill or bank statement issued within the last 3 months) of new Director(s) and/or Ultimate Beneficial Owner(s).  
請提供新增董事及/或最終實益擁有人的身分證明文件及地址證明(如最近三個月內發出的水/電/煤氣單或銀行月結單)之經認證副本。
- Please provide latest organization chart which certified by a director.  
請提供由董事核實最新的組織結構圖。

For Internal use only			
Signature verification	Data input	Checked by	Approved by
Date:	Date:	Date:	Date: